



# SANDU PHARMACEUTICALS LTD

Regd. Office: Plot Nos. 25, 26, 29 & 30, Pilerne Industrial Estate, Marra, Saligao, Bardez, Goa 403511.  
Tel: +91 832 2407474 / 6715000, E-mail: sandupharma@sandu.in

**Ref:** SPL/BSE/CORP-SEC/2017-18/912

**Dated:** 19/10/2018

To,

The Deputy Manager (Corporate Services)  
The Bombay Stock Exchange Limited  
1<sup>st</sup> Floor, Rotunda Bldg  
B.S Marg , Fort  
Mumbai -400001

Sub: certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015

Sir,

We are sending herewith certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the half year ended 30<sup>th</sup> September 2018.

Kindly take note of the same.

For Sandu Pharmaceuticals Limited



Company Secretary



AN ISO 9001:2008 CERTIFIED ORGANISATION

Corporate Office: P. B. No. 17201, Sandu Nagar, D. K. Sandu Marg, Chembur, Mumbai – 400 071.  
Tel.: +91 22 2528 4402 / 3306, Fax: +91 22 2528 2403, E-mail: info@sandu.in, Web: www.sandu.in

CIN:L24233GA1985PLC001587



# ND & ASSOCIATES

Practising Company Secretaries

**CS Neeta H. Desai**  
BA, LLB, LLM, FCS  
Tel.: 24095104  
Mobile:9821498216  
Mobile:9892288254



279/18, Shantikunj, Road No. 31,  
Sion (East), Mumbai - 400 022.  
E-mail: ndassociates@rediffmail.com  
E-mail: ndassociates2128@gmail.com

The Board of Directors,  
M/s Sandu Pharmaceuticals Limited  
Plot No. 25, 26, 29 & 30,  
Pilerne Industrial Estate,  
Marra, Bardez, Goa - 403511

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI ( LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 30, 2018**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **M/s. Sandu Pharmaceuticals Limited** maintained by **M/s. Sharex Dynamic (India) Private Limited** pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations 2015. Based on the information provided by the Company and RTA, we hereby certify that the Company has delivered during the half year ended on September 2018:

A] Share Certificate(s) relating to the share transfer form (s) received during the period as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment.

B] One request for duplicate certificate for 1200 shares was issued within one month of lodgment. There are no requests for consolidation, sub-division, renewal, exchange or endorsement of calls/allotment.

**For ND & ASSOCIATES**

**Place : Mumbai**  
**Date : 12 OCT 2018**

**Neeta H. Desai**  
**Company Secretary**  
**C. P. No. 4741**